

FORM L-35-DOWNGRADING OF INVESTMENTS - 2
NAME OF THE INSURER : SBI LIFE INSURANCE COMPANY LTD.
REGISTRATION NUMBER : 111
STATEMENT AS ON : SEPTEMBER 30, 2017
STATEMENT OF DOWN GRADED INVESTMENTS
PERIODICITY OF SUBMISSION: QUARTERLY

NAME OF THE FUND : LIFE FUND

(₹ In Lakhs)

NO.	NAME OF THE SECURITY	COI	AMOUNT	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF LAST DOWNGRADE	REMARKS
A.	<u>DURING THE QUARTER</u>¹								
	9.35% ESSEL MINING AND INDUSTRIES LIMITED B & D 28-06-2019	ORAD	10,000.00	25/07/2016	CRISIL	Crisil AA	Crisil AA-	11/08/2017	
B.	<u>AS ON DATE</u>²								
	2.00% INDIAN HOTELS BS 09-12-2019	ECOS	2,806.87	09/12/2009	ICRA	ICRA AA+	ICRA AA	17/09/2012	
	10.75% TATA POWER B & D 21-08-2072	IODS	3,010.23	21/08/2012	CRISIL	CRISIL AA	CRISIL AA-	18/10/2013	
	9.40% TATA POWER B & D 28-12-2022	IODS	1,000.00	26/03/2013	CRISIL	CRISIL AA	CRISIL AA-	18/10/2013	
	9.80% JSPL B & D 29-12-2021	OLDB	1,004.12	06/05/2011	ICRA	ICRA AA	ICRA D	10/03/2016	
	9.25% IPCA LABORATORIES LIMITED B & D 12-12	OLDB	374.62	29/06/2016	CRISIL	CRISIL AA	CRISIL AA-	29/06/2016	
	8.23% PNB B & D 09-02-2025	ILBI	6,437.82	11/02/2015	CARE	CARE AAA	CARE AA+	20/07/2016	
	9.15% TATA STEEL B & D 24-01-2021 II	ECOS	301.36	27/01/2015	BRICKWORKS	BWR AA+	BWR AA	28/10/2016	
	9.05% OBC B & D 24-06-2026	ORAD	502.01	12/07/2016	ICRA	ICRA AA	ICRA AA-	31/05/2017	
	9.48% TATA POWER B & D 17-11-2019 2	IORD	4,007.60	17/11/2014	ICRA	ICRA AA	ICRA AA-	07/06/2017	
	9.35% ESSEL MINING AND INDUSTRIES LIMITED I	ORAD	10,000.00	25/07/2016	CRISIL	Crisil AA	Crisil AA-	11/08/2017	

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date :

ARIJIT BASU
MD & CEO

Note :

1. Provide details of Down Graded Investments during the quarter.
2. Investments currently upgraded, listed as downgraded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP, FORM 2 shall be prepared at Segregated Fund (SFIN) Level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority.

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REGISTRATION NUMBER : 111
STATEMENT AS ON : SEPTEMBER 30, 2017
STATEMENT OF DOWN GRADED INVESTMENTS
PERIODICITY OF SUBMISSION: QUARTERLY

NAME OF THE FUND : PENSION ANNUITY & GROUP FUND

(₹ In Lakhs)

NO.	NAME OF THE SECURITY	COI	AMOUNT	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF LAST DOWNGRADE	REMARKS
A.	<u>DURING THE QUARTER</u> ¹ NIL								
B.	<u>AS ON DATE</u> ²								
	2.00% INDIAN HOTELS BS 09-12-2019	ECOS	12,150.63	09/12/2009	ICRA	ICRA AA+	ICRA AA	17/09/2012	
	8.23% PNB B & D 09-02-2025	ILBI	3,000.00	09/02/2015	CARE	CARE AAA	CARE AA+	20/07/2016	

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date :

ARIJIT BASU
MD & CEO

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STATEMENT OF DOWN GRADED INVESTMENTS
PERIODICITY OF SUBMISSION: QUARTERLY

NAME OF THE FUND : LINKED LIFE FUND

(₹ In Lakhs)

NO.	NAME OF THE SECURITY	COI	AMOUNT	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF LAST DOWNGRADE	REMARKS
A.	<u>DURING THE QUARTER</u> ¹ NIL								
B.	<u>AS ON DATE</u> ²								
	9.80% JSPL B & D 29-12-2021	OLDB	900.00	29/12/2009	ICRA	ICRA AA	ICRA D	10/03/2016	
	9.05% OBC B & D 24-06-2026	ORAD	4,097.98	25/07/2016	ICRA	ICRA AA	ICRA AA-	31/05/2017	

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date :

ARIJIT BASU
MD & CEO

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