

FORM L-35-DOWNGRADING OF INVESTMENTS - 2
NAME OF THE INSURER : SBI LIFE INSURANCE COMPANY LTD.
REGISTRATION NUMBER : 111
STATEMENT AS ON : SEPTEMBER 30, 2018
STATEMENT OF DOWN GRADED INVESTMENTS
PERIODICITY OF SUBMISSION: QUARTERLY

NAME OF THE FUND : LIFE FUND

(₹ In Lakhs)

NO.	NAME OF THE SECURITY	COI	AMOUNT	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF LAST DOWNGRADE	REMARKS
A.	<u>DURING THE QUARTER</u> ¹								
	9.05% OBC B & D 24-06-2026	ORAD	501.40	12/07/2016	ICRA	ICRA AA	ICRA A+	17/09/2018	
B.	<u>AS ON DATE</u> ²								
	2.00% INDIAN HOTELS BS 09-12-2019	ECOS	3,047.84	09/12/2009	ICRA	ICRA AA+	ICRA AA	17/09/2012	
	10.75% TATA POWER B & D 21-08-2072	IODS	3,008.41	21/08/2012	CRISIL	CRISIL AA	CRISIL AA-	18/10/2013	
	9.40% TATA POWER B & D 28-12-2022	IODS	1,000.00	26/03/2013	CRISIL	CRISIL AA	CRISIL AA-	18/10/2013	
	9.80% JSPL B & D 29-12-2021	OLDB	801.86	06/05/2011	ICRA	ICRA AA	[ICRA]BBB-	10/03/2016	
	9.15% TATA STEEL B & D 24-01-2021 II	ECOS	300.90	27/01/2015	BRICKWORKS	BWR AA+	BWR AA	28/10/2016	
	9.48% TATA POWER B & D 17-11-2019 2	IORD	4,003.35	17/11/2014	ICRA	ICRA AA	ICRA AA-	07/06/2017	
	8.23% PNB B & D 09-02-2025	ILBI	6,443.38	11/02/2015	CARE	CARE AAA	CARE AA	24/05/2018	
	8.67% IDFC BANK LIMITED B & D 03-01-2025 PP14/2015	ECOS	12,055.66	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	0.00% IDFC BANK LIMITED B & D 17-01-2026	ECOS	1,304.08	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.73% IDFC BANK LIMITED B & D 14-06-2022 PP9/2016	ECOS	1,998.69	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.70% IDFC BANK LIMITED B & D 23-06-2025 PP10/2016	ECOS	1,850.00	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	9.36% IDFC BANK LIMITED B & D 21-08-2024 PP 6/2015	ECOS	100.00	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.70% IDFC BANK LIMITED B & D 20-05-2025 PP6/2016	ECOS	2,500.00	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.75% IDFC BANK LIMITED B & D 28-07-2023 PP12/2016	ECOS	13,358.17	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	9.05% OBC B & D 24-06-2026	ORAD	501.40	12/07/2016	ICRA	ICRA AA	ICRA A+	17/09/2018	

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date :

SANJEEV NAUTIYAL
MD & CEO

Note :

1. Provide details of Down Graded Investments during the quarter.
2. Investments currently upgraded, listed as downgraded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP, FORM 2 shall be prepared at Segregated Fund (SFIN) Level and also at consolidated level.
4. Category of Investment (COI) shall be as per Guidelines issued by the Authority.

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PERIODICITY OF SUBMISSION: QUARTERLY

NAME OF THE FUND : PENSION ANNUITY & GROUP FUND

(₹ In Lakhs)

NO.	NAME OF THE SECURITY	COI	AMOUNT	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF LAST DOWNGRADE	REMARKS
A.	<u>DURING THE QUARTER</u> ¹			NIL					
B.	<u>AS ON DATE</u> ²								
	2.00% INDIAN HOTELS BS 09-12-2019	ECOS	13,199.89	09/12/2009	ICRA	ICRA AA+	ICRA AA	17/09/2012	
	8.23% PNB B & D 09-02-2025	ILBI	3,000.00	09/02/2015	CARE	CARE AAA	CARE AA	24/05/2018	
	9.15% IDFC BANK LIMITED B & D 06-01-2026	ECOS	500.00	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.67% IDFC BANK LIMITED B & D 03-01-2025 PP14	ECOS	5,016.39	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.73% IDFC BANK LIMITED B & D 14-06-2022 PP9/	ECOS	4,498.69	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.75% IDFC BANK LIMITED B & D 28-07-2023 PP12	ECOS	5,000.00	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.64% IDFC BANK LIMITED B & D 15-04-2020 PP2/	ECOS	5,004.07	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.70% IDFC BANK LIMITED B & D 23-06-2025 PP1C	ECOS	2,500.00	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.63% IDFC BANK LIMITED B & D 18-02-2020 PP 1	ECOS	7,502.53	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	

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PERIODICITY OF SUBMISSION: QUARTERLY

NAME OF THE FUND : LINKED LIFE FUND

(₹ In Lakhs)

NO.	NAME OF THE SECURITY	COI	AMOUNT	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF LAST DOWNGRADE	REMARKS
A.	<u>DURING THE QUARTER</u> ¹ 9.05% OBC B & D 24-06-2026	ORAD	3,720.91	25/07/2016	ICRA	ICRA AA	ICRA A+	17/09/2018	
B.	<u>AS ON DATE</u> ² 9.80% JSPL B & D 29-12-2021	OLDB	934.71	29/12/2009	ICRA	ICRA AA	[ICRA]BBB-	10/03/2016	
	8.67% IDFC BANK LIMITED B & D 03-01-2025 PP14/2015	ECOS	13,033.01	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.70% IDFC BANK LIMITED B & D 20-05-2025 PP6/2016	ECOS	2,397.86	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.73% IDFC BANK LIMITED B & D 14-06-2022 PP9/2016	ECOS	1,477.61	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.75% IDFC BANK LIMITED B & D 28-07-2023 PP12/2016	ECOS	1,467.66	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.70% IDFC BANK LIMITED B & D 23-06-2025 PP10/2016	ECOS	4,815.49	21/11/2017	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	9.05% OBC B & D 24-06-2026	ORAD	3,720.91	25/07/2016	ICRA	ICRA AA	ICRA A+	17/09/2018	

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	8.67% IDFC BANK LIMITED B & D 03-01-2025 PP14/2015	ECOS	13,033.01	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
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	8.75% IDFC BANK LIMITED B & D 28-07-2023 PP12/2016	ECOS	1,467.66	01/10/2015	INDIA RATING	IND AAA	IND AA+	27/06/2018	
	8.70% IDFC BANK LIMITED B & D 23-06-2025 PP10/2016	ECOS	4,815.49	21/11/2017	INDIA RATING	IND AAA	IND AA+	27/06/2018	
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