

FORM L-35-DOWNGRADING OF INVESTMENTS - 2
NAME OF THE INSURER : SBI LIFE INSURANCE COMPANY LTD.
REGISTRATION NUMBER : 111 dated 29th March, 2001 with the IRDA
STATEMENT AS ON : SEPTEMBER 30, 2020
STATEMENT OF DOWN GRADED INVESTMENTS
PERIODICITY OF SUBMISSION: QUARTERLY

NAME OF THE FUND : LIFE FUND

(Rs In Lakhs)

NO.	NAME OF THE SECURITY	COI	AMOUNT	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF LAST DOWNGRADE	REMARKS
A.	<u>DURING THE QUARTER</u> ¹	NIL							
B.	<u>AS ON DATE</u> ²								
	10.75% TATA POWER B & D 21-08-2072	IODS	3,004.83	21/08/2012	CRISIL	CRISIL AA	CRISIL AA-	18/10/2013	
	9.40% TATA POWER B & D 28-12-2022	IODS	1,000.00	26/03/2013	CRISIL	CRISIL AA	CRISIL AA-	18/10/2013	
	9.80% JSPL B & D 29-12-2021	OLDB	403.20	06/05/2011	ICRA	ICRA AA	[ICRA]BBB-	10/03/2016	
	9.15% TATA STEEL B & D 24-01-2021 II	ECOS	299.64	27/01/2015	BRICKWORKS	BWR AA+	BWR AA	28/10/2016	
	8.23% PNB B & D 09-02-2025	ILBI	6,456.78	11/02/2015	CARE	CARE AAA	CARE AA	24/05/2018	
	9.05% PNB B & D 24-06-2026	ORAD	499.81	12/07/2016	ICRA	ICRA AA	ICRA A+	17/09/2018	
	8.40% CANARA BANK B & D 27-04-2026 TIER 2 BONDS 2016-17	EDCI	5,000.00	27-04-2016	ICRA	ICRA AAA	ICRA AA+	30/04/2019	
	0.00% IDFC BANK LIMITED B & D 17-01-2026	ECOS	1,532.71	01/10/2015	ICRA	ICRA AAA	ICRA AA	21/05/2019	
	8.67% IDFC BANK LIMITED B & D 03-01-2025 PP14/2015	ECOS	12,038.85	01/10/2015	ICRA	ICRA AAA	ICRA AA	21/05/2019	
	8.70% IDFC BANK LIMITED B & D 20-05-2025 PP6/2016	ECOS	2,500.00	01/10/2015	ICRA	ICRA AAA	ICRA AA	21/05/2019	
	8.70% IDFC BANK LIMITED B & D 23-06-2025 PP10/2016	ECOS	1,850.00	01/10/2015	ICRA	ICRA AAA	ICRA AA	21/05/2019	
	8.73% IDFC BANK LIMITED B & D 14-06-2022 PP9/2016	ECOS	1,998.81	01/10/2015	ICRA	ICRA AAA	ICRA AA	21/05/2019	
	8.75% IDFC BANK LIMITED B & D 28-07-2023 PP12/2016	ECOS	13,336.22	01/10/2015	ICRA	ICRA AAA	ICRA AA	21/05/2019	
	9.36% IDFC BANK LIMITED B & D 21-08-2024 PP 6/2015	ECOS	100.00	01/10/2015	ICRA	ICRA AAA	ICRA AA	21/05/2019	
	9.60% TATA MOTORS B & D 29-10-2022 E26E	ORAD	3,633.73	29/10/2014	CARE	CARE AA+	CARE AA-	20/08/2019	
	9.73% TATA MOTORS B & D 01-10-2020 II	ORAD	2,500.00	01/10/2014	CARE	CARE AA+	CARE AA-	20/08/2019	
	9.81% TATA MOTORS B & D 20-08-2024	ORAD	6,000.00	20/08/2014	ICRA	ICRA AA	ICRA AA-	05/08/2019	
	9.02% TATA MOTORS B & D 10-12-2021 E26-G	ORAD	7,674.65	21/07/2016	ICRA	ICRA AA	ICRA AA-	05/08/2019	
	7.53% PNB HOUSING FINANCE LIMITED B & D 31-12-2020 B	HTDN	5,000.00	16/11/2017	INDIA RATING	IND AAA	IND AA	09/03/2020	
	8.85% YES BANK B & D 24-02-2025 1	IORD	5,000.00	24/02/2015	CARE	CARE AA+	CARE B	26/03/2020	
	9.05% ESSEL LUCKNOW RAEBARELI TOLL ROADS LIMITED B & D 30-09-2024 SERIES R	IORD	2,011.48	05/02/2020	INDIA RATING	IND A	IND BBB-	10/02/2020	

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date :

Mahesh Kumar Sharma
MD & CEO

- Note :**
1. Provide details of Down Graded Investments during the quarter.
 2. Investments currently upgraded, listed as downgraded during earlier Quarter shall be deleted from the Cumulative listing.
 3. FORM-2 shall be prepared in respect of each fund. In case of ULIP, FORM 2 shall be prepared at Segregated Fund (SFN) Level and also at consolidated level.
 4. Category of Investment (COI) shall be as per Guidelines issued by the Authority.

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

NAME OF THE INSURER : SBI LIFE INSURANCE COMPANY LTD.

REGISTRATION NUMBER : 111 dated 29th March, 2001 with the IRDA

STATEMENT AS ON : SEPTEMBER 30, 2020

STATEMENT OF DOWN GRADED INVESTMENTS

PERIODICITY OF SUBMISSION: QUARTERLY

NAME OF THE FUND : PENSION ANNUITY & GROUP FUND*(Rs In Lakhs)*

NO.	NAME OF THE SECURITY	COI	AMOUNT	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF LAST DOWNGRADE	REMARKS
A.	<u><i>DURING THE QUARTER</i></u> ¹	NIL							
B.	<u><i>AS ON DATE</i></u> ²								
	8.23% PNB B & D 09-02-2025	ILBI	3,000.00	09/02/2015	CARE	CARE AAA	CARE AA	24/05/2018	
	8.40% CANARA BANK B & D 27-04-2026 TIER 2 BONDS 2016-17	EDCI	5,552.24	27-04-2016	ICRA	ICRA AAA	ICRA AA+	30/04/2019	
	7.91% PNB HOUSING FINANCE LIMITED B & D 29-03-2022	HTDN	3,050.00	29/09/2016	INDIA RATING	IND AAA	IND AA	09/03/2020	
	8.75% INDIABULLS HOUSING FINANCE LIMITED B & D 26-09-2021 III	HTDN	4,272.11	10/11/2017	CARE	CARE AAA	CARE AA	17/02/2020	

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date :

Mahesh Kumar Sharma
MD & CEO

- Note :**
1. Provide details of Down Graded Investments during the quarter.
 2. Investments currently upgraded, listed as downgraded during earlier Quarter shall be deleted from the Cumulative listing.
 3. FORM-2 shall be prepared in respect of each fund. In case of ULIP, FORM 2 shall be prepared at Segregated Fund (SFIN) Level and also at consolidated level.
 - 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority.

FORM L-35-DOWNGRADING OF INVESTMENTS - 2
NAME OF THE INSURER : SBI LIFE INSURANCE COMPANY LTD.
REGISTRATION NUMBER : 111 dated 29th March, 2001 with the IRDA
STATEMENT AS ON : SEPTEMBER 30, 2020
STATEMENT OF DOWN GRADED INVESTMENTS
PERIODICITY OF SUBMISSION: QUARTERLY

NAME OF THE FUND : LINKED LIFE FUND

(Rs In Lakhs)

NO.	NAME OF THE SECURITY	COI	AMOUNT	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF LAST DOWNGRADE	REMARKS
A.	<u>DURING THE QUARTER</u> ¹	NIL							
B.	<u>AS ON DATE</u> ²								
	9.80% JSPL B & D 29-12-2021	OLDB	4.89	29/12/2009	ICRA	ICRA AA	[ICRA]BBB-	10/03/2016	
	9.05% PNB B & D 24-06-2026	ORAD	41.02	25/07/2016	ICRA	ICRA AA	ICRA A+	17/09/2018	
	8.67% IDFC BANK LIMITED B & D 03-01-2025 PP14/2015	ECOS	133.75	01/10/2015	ICRA	ICRA AAA	ICRA AA	21/05/2019	
	8.70% IDFC BANK LIMITED B & D 20-05-2025 PP6/2016	ECOS	26.91	01/10/2015	ICRA	ICRA AAA	ICRA AA	21/05/2019	
	8.70% IDFC BANK LIMITED B & D 23-06-2025 PP10/2016	ECOS	53.89	21/11/2017	ICRA	ICRA AAA	ICRA AA	21/05/2019	
	8.73% IDFC BANK LIMITED B & D 14-06-2022 PP9/2016	ECOS	10.54	01/10/2015	ICRA	ICRA AAA	ICRA AA	21/05/2019	
	8.75% IDFC BANK LIMITED B & D 28-07-2023 PP12/2016	ECOS	16.06	01/10/2015	ICRA	ICRA AAA	ICRA AA	21/05/2019	
	8.90% JK LAKSHMI CEMENT LIMITED B & D 06-01-2022 C	ORAD	113.80	26/09/2007	CARE	CARE AA	CARE AA-	09/10/2018	
	9.60% TATA MOTORS B & D 29-10-2022 E26E	ORAD	58.40	29/10/2014	CARE	CARE AA+	CARE AA-	20/08/2019	
	7.50% TATA MOTORS B & D 20-10-2021 E 27 E	ORAD	25.70	03/01/2018	CARE	CARE AA+	CARE AA-	20/08/2019	
	9.77% TATA MOTORS B & D 12-09-2024	ORAD	48.85	22/12/2017	ICRA	ICRA AA	[ICRA]AA-	05/08/2019	
	7.91% PNB HOUSING FINANCE LIMITED B & D 29-03-2022	HTDN	62.13	29/09/2016	INDIA RATING	IND AAA	IND AA	09/03/2020	
	8.40% INDIABULLS HOUSING FINANCE LIMITED B & D 22-06-2021 K-012	HTDN	25.52	23/03/2018	CRISIL	Crisil AAA	Crisil AA	10/02/2020	
	8.0253% INDIABULLS HOUSING FINANCE LIMITED B & D 06-04-2021 K-002	HTDN	75.34	27/12/2017	CRISIL	Crisil AAA	Crisil AA	10/02/2020	
	8.75% INDIABULLS HOUSING FINANCE LIMITED B & D 26-09-2021 III	HTDN	49.30	10/11/2017	CARE	CARE AAA	CARE AA	17/02/2020	

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date :

Mahesh Kumar Sharma
MD & CEO

- Note :**
1. Provide details of Down Graded Investments during the quarter.
 2. Investments currently upgraded, listed as downgraded during earlier Quarter shall be deleted from the Cumulative listing.
 3. FORM-2 shall be prepared in respect of each fund. In case of ULIP, FORM 2 shall be prepared at Segregated Fund (SPIN) Level and also at consolidated level.
 - 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority.